



Atty. Docket No.: 4535/24

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Peter Melchior et al.

Appln. No. : 09/981,645

Examiner : To be Assigned

Filed : October 16, 2001

Group Art Unit: 2164

Title : FACILITATING SELLER FINANCING AND ADVANCE PAYMENT  
FOR SELLERS IN A FULL SERVICE TRADE SYSTEM

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPER  
ORIGINALLY FILED

**RESPONSE TO "NOTICE TO FILE MISSING  
PARTS OF APPLICATION--FILING DATE GRANTED"**

Sir:

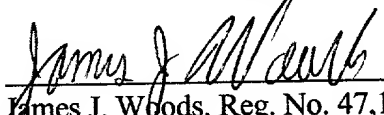
We have received a Notice To File Missing Parts of Application--Filing Date  
Granted mailed on November 28, 2001. We are returning herewith a copy of that Notice and  
executed declaration and power of attorney identifying the application by its serial number and  
filing date. We are enclosing substitute drawings (16 sheets, Figs. 1-16) that are believed to be in  
compliance with the rules.

We are also enclosing herewith a check in the amount of \$998.00 which includes  
surcharge fee (\$65.00), extension of time (\$200.00), and filing fee (\$733.00) for a small entity.

The Commissioner is hereby authorized to charge any additional fees which may  
be required or credit any overpayment to our Deposit Account No. 02-4270.

Respectfully submitted,

Dated: March 6, 2002

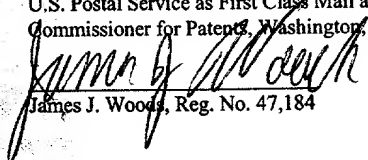
  
James J. Woods, Reg. No. 47,184  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
900 Third Avenue  
New York, New York 10022  
(212) 895-2000

03/20/2002 SDENB01 00000011 09981645

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200.00 DP

I hereby certify that this paper is being deposited this date with the  
U.S. Postal Service as First Class Mail addressed to:  
Commissioner for Patents, Washington, D.C. 20231

  
James J. Woods, Reg. No. 47,184

3/6/02  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,645	10/16/2001		4535/24

29858  
BROWN, RAYSMAN, HILLSTEIN, FELDER & STEINER LLP  
900 THIRD AVENUE  
NEW YORK, NY 10022

CONFIRMATION NO. 7543

## FORMALITIES LETTER



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COPY OF PAPER  
ORIGINALLY FILED

Date Mailed: 11/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$726.
  - \$306 for 17 total claims over 20.
  - \$420 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1596.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

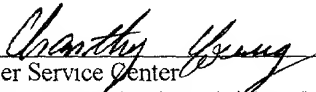
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03/20/2002

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#3.  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

CHANGE OF ADDRESS/POWER OF ATTORNEY

FILE LOCATION 0300 SERIAL NUMBER 09981645 PATENT NUMBER

THE CORRESPONDENCE ADDRESS HAS BEEN CHANGED TO CUSTOMER # 29858

THE PRACTITIONERS OF RECORD HAVE BEEN CHANGED TO CUSTOMER # 29858

THE FEE ADDRESS HAS BEEN CHANGED TO CUSTOMER # 29858

ON 02/14/02 THE ADDRESS OF RECORD FOR CUSTOMER NUMBER 29858 IS:

BROWN, RAYSMAN, MILLSTEIN, FELDER & STEI  
900 THIRD AVENUE  
NEW YORK NY 10022

AND THE PRACTITIONERS OF RECORD FOR CUSTOMER NUMBER 29858 ARE:

26543 37410 38494 38893 38935 40712 40997 41941 41968 42275  
43457 44237 45030 45251 47184

PTO INSTRUCTIONS: PLEASE TAKE THE FOLLOWING ACTION WHEN THE  
CORRESPONDENCE ADDRESS HAS BEEN CHANGED TO CUSTOMER NUMBER:  
RECORD, ON THE NEXT AVAILABLE CONTENTS LINE OF THE FILE JACKET,  
'ADDRESS CHANGE TO CUSTOMER NUMBER'. LINE THROUGH THE OLD  
ADDRESS ON THE FILE JACKET LABEL AND ENTER ONLY THE 'CUSTOMER  
NUMBER' AS THE NEW ADDRESS. FILE THIS LETTER IN THE FILE JACKET.  
WHEN ABOVE CHANGES ARE ONLY TO FEE ADDRESS AND/OR PRACTITIONERS  
OF RECORD, FILE LETTER IN THE FILE JACKET.  
THIS FILE IS ASSIGNED TO GAU 2164.